

FORM PTO-1390 (REV. 7-2005)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 9741-015-999
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>			U.S. APPLICATION NO. (if known, see 37CFR 1.5) To be assigned <b>10/561009</b>
INTERNATIONAL APPLICATION NO. PCT/GB2004/002579	INTERNATIONAL FILING DATE June 15, 2004	PRIORITY DATE CLAIMED June 18, 2003	
TITLE OF INVENTION Amorphous Form of Clanzapine			
APPLICANT(S) FOR DO/EO/US Gray, Jason			
Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li><li>4. <input type="checkbox"/> The U.S. has been elected (Article 31).</li><li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)).<ol style="list-style-type: none"><li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li></ol></li><li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<ol style="list-style-type: none"><li>a. <input type="checkbox"/> is attached hereto.</li><li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li></ol></li><li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li><li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li></ol></li><li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li><li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li><li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li></ol>			
<b>Items 11. to 20. below concern document(s) or information included:</b>			
<ol style="list-style-type: none"><li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li><li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li><li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li><li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li><li>15. <input type="checkbox"/> A substitute specification.</li><li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li><li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter 2 and 37 CFR 1.821- 1.825.</li><li>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li><li>19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</li><li>20. <input type="checkbox"/> Other items or information:</li></ol>			

US APPLICATION NO. <b>10/561009</b> To be assigned		INTERNATIONAL APPLICATION NO. PCT/GB2004/002579		ATTORNEY'S DOCKET NUMBER 9741-015-999	
The following fees are submitted:					
21. <input checked="" type="checkbox"/> Basic national fee .....				\$300.00	
22. <input checked="" type="checkbox"/> Examination fee.....				\$200.00	
Was the Written Opinion prepared by the ISA/USPTO or did the International Preliminary Examination Report prepared by the USPTO indicate that all filed claims in this U.S. application satisfy provisions of PCT Article 33(1)-(4) (i.e., novelty, inventive step and industrial capability)? .....					
<input type="checkbox"/> i) Yes (\$0.00).....				\$200.00	
<input checked="" type="checkbox"/> ii) No (\$200.00).....				\$200.00	
23. <input checked="" type="checkbox"/> Search fee .....				\$400.00	
Did the Written Opinion of the ISA/USPTO or the International preliminary examination report prepared by the USPTO indicate that all claims satisfy provisions of PCT Article 33(1)-(4) (i.e., novelty, inventive step and industrial capability)? .....					
<input type="checkbox"/> i) Yes (\$0.00).....				\$400.00	
ii) If no:					
<input type="checkbox"/> (a) A Search Fee has been paid on the international application to the USPTO as an International Search Authority. (\$100.00).....				\$400.00	
<input checked="" type="checkbox"/> (b) An International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB. (\$400.00).....				\$400.00	
<input type="checkbox"/> (c) All other situations (\$500.00).....				\$400.00	
<b>TOTAL OF CALCULATIONS =</b>				\$	\$900.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing of computer programs listing filed in electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets 17 -100	Extra Sheets 0 /50	Number of each additional 50 or fraction thereof (round up to a whole number). 0	RATE x 250.00		\$0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$	\$130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE X		
Total Claims	34 - 20	14	x \$50.00		\$700.00
Independent Claims	1 - 3	0	x \$200.00	=	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 360.00		\$0.00
<b>TOTAL OF ABOVE CALCULATIONS=</b>				= \$	\$1730.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 50%				- \$	\$0.00
<b>SUBTOTAL =</b>				\$	\$1730.00
Surcharge of \$130.00 for furnishing the English Translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$0.00
<b>TOTAL NATIONAL FEE</b>				= \$	\$1730.00
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 0 x \$40.00 per property				\$	\$0.00
<b>TOTAL FEES ENCLOSED</b>				= \$	\$1730.00
				<b>Amount to be refunded:</b>	
				<b>charged:</b>	
a. <input type="checkbox"/> A check in the amount of \$ to cover the above fees is enclosed.					
b. <input checked="" type="checkbox"/> This is an estimate of the fees due. Please charge Deposit Account No. 50-3013 to cover the required fees. A copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3013. A copy of this sheet is enclosed.					
<b>Note: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.</b>					
24. <input checked="" type="checkbox"/> All correspondence for this application should be mailed to		JONES DAY		20582	
25. <input type="checkbox"/> All telephone inquiries should be made to					
Anthony M. Insogna		By: <i>Hoon Choi</i> (Encl. Rec'd No. 2005/55,203)		12/16/05	
NAME		SIGNATURE		DATE	